



Applicants: Bradley H. Clements, et al.
 Serial No.: 09/829,859
 Filing Date: APR 10, 2001
 For: METHODS AND SYSTEMS FOR TRACKING STORAGE RESOURCES ASSOCIATED WITH A DOCUMENT DISTRIBUTION SYSTEM

RECEIVED
JUN 25 2001
Technology Center 2100

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub Class	Filing Date
A1	Des. 399,836	10/20/98	Wu, et al	DI4	114.2	05/20/97
A2	5,432,928	07/11/95	Sherman	395	600	11/10/92
A3	5,488,717	01/30/96	Gibson, et al	395	600	07/06/92
A4	5,548,759	08/20/96	Lipe	395	600	01/11/96
A5	5,551,028	08/27/96	Voll, et al	395	600	02/18/95
A6	5,588,147	12/24/96	Neeman, et al	395	601	01/14/94
A7	5,749,080	05/05/98	Matsumoto, et al	707	100	06/05/96
A8	5,790,790	08/04/98	Smith, et al	395	200.36	10/24/96
A9	5,832,508	11/03/98	Sherman, et al	707	200	11/14/96
A10	5,832,518	11/03/98	Masters	707	202	04/13/98
A11	5,832,526	11/03/98	Schuyler	707	205	01/24/96
A12	5,870,757	02/09/99	Fuller	707	201	09/11/95
A13	5,907,673	05/25/99	Hirayama, et al	395	182.14	07/17/97
A14	5,930,797	07/27/99	Hill	707	101	04/15/97
A15	6,182,080	01/30/01	Clements	707	102	09/12/97

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner Initial*

- A16 Brockschmidt, K., *Inside OLE*, Second Edition, Chapter 16, Microsoft Press, pp. 761-808, 1995
- A17 Terry, et al., "Managing Update Conflicts in Bayou, a Weakly Connected Replicated Storage System," Proceedings 15th Symposium on Operating Systems Principles (SOSP-15), pp. 172-183, Dec. 1995
- A18 IBM Cryptolope Containers, IBM Info Market, <http://www.infomart.ibm.com/i3/crypto.htm>, pp. 1-3, Nov.

3/14/05

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449
Applicants: Bradley H. Clements, et al.
Serial No.: 09/829,898
Filing Date: 07/10/2001
For:



RECEIVED
JUN 10 2001
Technology Center 2100
Att'y Docket No. 13801.26
Group: 2161
METHODS AND SYSTEMS FOR TRACKING STORAGE RESOURCES
ASSOCIATED WITH A DOCUMENT DISTRIBUTION SYSTEM

Sheet 2 of 2

17, 1995

- A19 Cryptope Container Technology, <http://www.cryptope.ibm.com/white.htm>, pp. 1-16, March 21, 1997
- A20 IBM Cryptope Home, <http://www.cryptope.ibm.com/product.htm>, pp. 1-7, Aug. 7, 1997
- A21 The RealSpace Imaging Solution, [realspace wpaper](#), pp. 106, 1997
- A22 Microsoft Office 97 Binder web pages, Office 97-Workgroup Whitepaper, 1996
- A23 Knowledge Base, *Fast Tips: "Binder Questions and Answers,"* created Aug. 28, 1995 and revised September 16, 1996
- A24 Microsoft Office 97 Binder File Format, available on Internet by at least August 26, 1997
- A25 CI Labs, *Open Doc: The New Shape of Software*, 1994
- A26 OLE Documents: Technical Backgrounder, May 1994
- A27 Chapter 5 of *ActiveX Ole*, by David Chappell, Published by Microsoft Press, 1996
- A28 Chapter 7 of *Inside OLE*, by Kraig Brockschmidt, Published by Microsoft Press, 1995

RECEIVED
JUN 25 2001
Technology Center 2100

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

04DATA1WPDOCS2UBS13801-26.149

Examiner:

3/15/05

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.